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Dorris Stith Cole

Signature

October 19, 2006

Date of Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Smith, et al.

Filed: April 11, 2006

Serial No.: 10/575,559

National Phase Application of: PCT/GB2004/004290

International Filing Date: October 7, 2004

For: Protective Helmet

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Applicant wishes to make of record the following reference under the provisions of 37 CFR §1.97 providing cited references.

US 5 517 691 A FR 2 750 299 A US 4 829 599 A JP 11 124719 A

It is believed that no fee is due. However, if that is incorrect, the Director is authorized to charge Deposit Account No. 08-2442.

Respectfully submitted,

David L. Principe, Reg. No. 39,336

HODGSON RUSS LLP One M & T Plaza, Suite 2000 Buffalo, New York 14203-2391

Date: October 19, 2006

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Approved for use through 03/31/2003, ONB 0031-0031				
Application Number	10/575,559			
Filing Date	April 11, 2006			
First Named Inventor	Smith, et. al.			
Art Unit				
Examiner Name	Lamont M. Hunter			
Attorney Docket Number	018872.00168			

			U.S. PATENT DOC	CUMENTS	
Examiner Initials*	Cite No. 1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		US-5 517 691	05-21-1996	Blake, Bruce H.	1,2
		US-4 839 599	05-16-1989	Giorgio, Hugo et al.	1,2
		US-			

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		FR 2 750 299	01-02-1998	Suif, Fernand Albert	1,2	
		JP 11 124719	08-31-1999	Shiiraizu	1	

Examiner	 Date	
Signature	Considered	
	***	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.